

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications.PATENT
APPLICATION**COMPLETION Under**
Rule 53(f)In re PATENT APPLICATION of

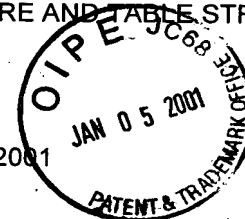
Inventor(s): KOMINO et al.

Attn: Application Division

Appln. No.: 09	667,770	Atty.Dkt. PM	272798	EL00006CDC
Series Code ↑	Serial No. ↑		M#	Client Ref

Filed: September 22, 2000

Title: PLASMA PROCESSING APPARATUS AND ELECTRODE STRUCTURE AND TABLE STRUCTURE OF PROCESSING APPARATUS

Hon. Commissioner of Patents
Washington, DC 20231

Date: January 5, 2001

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☐ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☒ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☒ Abstract
- b. ☐ # 53 pages of Specification (only spec. & claims)
- c. ☒ Drawing(s) 14
No of Sheets
☒ Fig(s). 1-24

4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-168297	June 5, 2000	(2) 11-173613	June 21, 1999
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Japanese Search Report dated September 19, 2000, Form PTO-1449 listing the enclosed documents and Form PCT/IB/304 (July 1998).
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+0	101/201
14. Total Effective Claims	44	minus 20 =	24	x \$18/\$9	+432	103/203
15. Independent Claims	5	minus 3 =	2	x \$80/\$40	+160	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+270	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+0	105/205
18. FILING FEE ENCLOSED =				\$862		
19. Original due date: January 6, 2001						
20. Petition is hereby made to extend the <u>original</u> due date to (1 mo)				\$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee (2mos)				\$390/\$195 =		116/216
is attached (3mos)				\$890/\$445 =		117/217
(4mos)				\$1390/\$695 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$862		

Our Deposit Account No. 03-3975

Our Order No. 40258

272798

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918
 Tel: (202) 861-3000
 Atty/Sec: GJP/nmw

By Atty: Glenn J. PerrySig: Reg. No. 28458

Fax: (202) 822-0944
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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

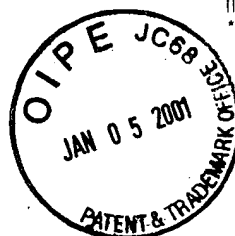
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,770	09/22/2000	Mitsuaki Komino	PM 272798 EL00006CDC

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue NW
Washington, DC 20005-3918

FORMALITIES LETTER



OC000000005534099



Date Mailed: 11/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/18/2001 TV0111 00000002 09667770

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	414.00 OP
03 FC:139	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

- The balance due by applicant is \$ 130.

pd 9/22/00

Repln. Ref: 04/18/2001 TV0111 0014521300
DAN:033975 Name/Number:09667770
FC: 704 \$28.00 CR

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 ETUL01 00000073 09667770

Adjustment date: 04/18/2001 TV0111
01/09/2001 ETUL01 00000073 09667770
01 FC:103 432.00 OP
02 FC:102 270.00 OP

432.00 OP
270.00 OP
270.00 OP

432.00 OP
270.00 OP

PATENT COOPERATION TREATY

PCT

NOTIFICATION CONCERNING
SUBMISSION OR TRANSMITTAL
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

ITOH, Tadahiko
32nd Floor, Yebisu Garden Place
Tower
20-3, Ebisu 4-chome
Shibuya-ku, Tokyo 150-6032
JAPON



Date of mailing (day/month/year) 13 October 2000 (13.10.00)	
Applicant's or agent's file reference EL00006PCT	IMPORTANT NOTIFICATION
International application No. PCT/JP00/04011	International filing date (day/month/year) 20 June 2000 (20.06.00)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 21 June 1999 (21.06.99)
Applicant TOKYO ELECTRON LIMITED et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
21 June 1999 (21.06.99)	11/173613	JP	18 Augu 2000 (18.08.00)
05 June 2000 (05.06.00)	2000/168297	JP	18 Augu 2000 (18.08.00)

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

Tessadel PAMPLIEGA *Tdp*

Telephone No. (41-22) 338.83.38